

SYPA Record of Breaches

Year	Ref	Date Identified	Type of Breach (e.g. personal data, contributions, criminal activity, etc)	Description	Action Taken in Response to Breach	Possible Impact (Red/Amber/ Green)	Date Reported to Local Pension Board or Authority	Reported to Pensions Regulator or other statutory body (e.g. ICO)?	Reported to Data Protection Officer?	Details of any follow up actions taken/required or wider implications	Breach Open/Closed
2019/20	21	01/06/2019	Personal Data	Member had been online and noticed 2017 annual statement had incorrect address. Records show employer had provided SYPA with incorrect address for member.	Notified employer of their error and asked them to exercise care.	Green	25/07/2019 (LPB)	NO	NO	None - statement had been returned to SYPA.	Open pending any Board comments
2019/20	22	23/04/2019	Personal Data	Employer (1) submitted bulk file which included personal data for employees not in the LGPS.	Notified employer of their error and asked them to exercise care.	Green	25/07/2019 (LPB)	NO	NO	All data sent in error deleted from SYPA records.	Open pending any Board comments
2019/20	23	23/04/2019	Personal Data	Employer (2) submitted bulk file which included personal data for employees not in the LGPS.	Notified employer of their error and asked them to exercise care.	Green	25/07/2019 (LPB)	NO	NO	All data sent in error deleted from SYPA records.	Open pending any Board comments
2019/20	24	02/05/2019	Personal Data	Employer (3) submitted bulk file which included personal data for employees not in the LGPS.	Notified employer of their error and asked them to exercise care.	Green	25/07/2019 (LPB)	NO	NO	All data sent in error deleted from SYPA records.	Open pending any Board comments
2019/20	25	05/06/2019	Personal Data	Letter including member options (transfer) sent to Derbyshire pension fund instead of direct to member.	Derbyshire instructed to destroy letter.	Green	25/07/2019 (LPB)	NO	NO	Member of staff alerted to human error. No personal data shared that Derbyshire did not have access to.	Open pending any Board comments